

IN THE UNITED STATES PATENT AND
TRADEMARK OFFICE

Docket No.:0072-IS
Inventors: SHAWN ZHU ET AL.
Page 1 of 2

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

J1002 U.S. PTO
10/08/2002
02/26/02

UTILITY PATENT APPLICATION TRANSMITTAL
UNDER 37 CFR 1.53(b)

Sir:

Enclosed herewith for filing are:

1. The patent application of:

Inventor(s): SHAWN ZHU,
CENK R. BURGAZLI,
YUN-PENG ZHU and
JAMES L. HAZEN

Title: **"AMINE AND QUATERNARY AMMONIUM SALT
DERIVATIVES OF GLYCIDYL ETHERS AND GLYCIDYL
ESTERS"**

Total pages -19

2. Application
 - New Application
 - Continuation Divisional Continuation-in-part
of U. S. Application Serial No. _____, filed on _____.
3. The new application being transmitted claims the benefit of prior copending Provisional U.S. Application: 60/281,899, filed April 5, 2001.
4. Drawings (-) sheets of drawings (informal)
5. Oath or Declaration (Total pages - 4)
 - Newly executed
 - Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional application)
 - Unsigned
6. Incorporation By Reference
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4 above, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. An assignment of the invention to CROMPTON CORPORATION.
8. Associate Power of Attorney
9. Information Disclosure Statement/PTO 1449
10. Preliminary Amendment

11. (X) Return Receipt Postcard

12. (X) Form PTO-1595 (Assignment Recordal Form)

13. The fee has been calculated as shown below:

| | Claims Filed | Extra Claims | Rate | Fee |
|---|--------------|--------------|-----------|----------|
| Basic Fee | | | | \$740.00 |
| Total Claims | 6-20 | -0- | X \$18 | -0- |
| Independent Claims | 3-3 | -0- | X \$84 | -0- |
| [] Multiple dependent claims presented | | | + \$280 | -0- |
| | | | Total Fee | \$740.00 |

14. (X) Charge Deposit Account No. 23-2656 in the amount of \$ 740.00

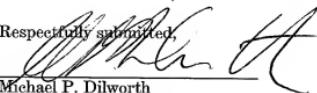
15. (X) The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-2656; any additional filing fees required under 37 CFR 1.16 and any patent application processing fees under 37 CFR 1.17.

16. (X) The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-2656; any patent application processing fees under 37 CFR 1.17 and any filing fees under 37 CFR 1.16 for presentation of extra claims.

Copies in duplicate are enclosed

Date: 2/25/02

Respectfully submitted,



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Docket No. 0072-IS

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| "EXPRESS MAIL" MAILING | |
| Express Mail Mailing Label Number: _____ Date of Deposit _____ | |
| I hereby certify this paper or fee is being deposited with the United States Postal Service Express Mail Post Office to Addressee Service Under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 22031 | |
| Typed or Printed Name of person mailing paper or fee | |
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